PTO/SB08u (8-03)
Approved for use through 07/3/2/056 (MB 0857-MGP)
U.S. Pleant and Trademark Cifice; U.S. DEPARTMENT OF COMMITTE
Under the Puperwork Reduction Act of 1896, no persons are required to respond to a collection of information United it contains a valid OMB control number.

|   | Attorney Docket Numb      | er   | NANO 106 US2 (NU 22088) |  |  |  |  |
|---|---------------------------|------|-------------------------|--|--|--|--|
| ( isot for administration ander of or K 1.33)                 | Examiner Name             | Unkn | ióWn                    |  |  |  |  |
| STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) | Art Unit                  |      | 1653                    |  |  |  |  |
| INFORMATION DISCLOSURE  | First Named Inventor Samu |      | muel I. STUPP et al.    |  |  |  |  |
|   | Filing Date               |      | 2005-11-08              |  |  |  |  |
|   | Application Number        |      | 10534097                |  |  |  |  |

|                      |            |                            |                           | U.S           | .PATENTS  |  |
|----------------------|------------|----------------------------|---------------------------|---------------|---|--|
| Examiner<br>Initial* | Cite<br>No | Patent Number              | Kind<br>Code <sup>1</sup> | Issue Dale    | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
|                      | 1          | 5871767                    |                           | 1999-02-16    | Dionne et al.                                   |  |
|                      | 2          | 6156321                    |                           | 2000-12-05    | Thorpe et al.                                   |  |
|                      | 3          | 6265539                    | B1                        | 2001-07-24    | Arlinghaus                                      |  |
|                      | 4          | 6270765                    | B1                        | 2001-08-07    | Deo et al.                                      |  |
|                      | 5          | 6458924                    | B2                        | 2002-10-01    | Knudsen et al.                                  |  |
|                      | 6          | 6548048                    | B1                        | 2003-04-15    | Cuthbertson et al.                              |  |
|                      | 7          | 6855329                    | B1                        | 2005-02-15    | Shakesheff et al.                               |  |
| If you wis           | h to a     | j<br>dd additional U.S. Pa | tent citatio              | n information | please click the Add button.                    | 1  |
|                      |            |                            | U.S.F                     | ATENT APPL    | ICATION PUBLICATIONS                            |  |

/Samuel Liu/ 03/27/2009

EFS Web 2.0

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

| Application Number   |           | 10534097                |
|----------------------|-----------|-------------------------|
| Filing Date          |           | 2005-11-08              |
| First Named Inventor | Sam       | uel I. STUPP et al.     |
| Art Unit             | ********* | 1653                    |
| Examiner Name        | Unk       | nown                    |
| Attorney Docket Numb | er        | NANO 106 US2 (NU 22088) |

| Examiner<br>nitial*   | Cite<br>No | Publication Number   | Kind<br>Code <sup>1</sup>      |                        |                           | Name of Pate<br>of cited Docu         | intee or Applicant<br>ment                            | Pages,Columns,Lines where<br>Relevant Passages or Releva<br>Figures Appear |   |            |  |
|-----------------------|------------|--|--------------------------------|------------------------|---------------------------|---------------------------------------|---|--|---|------------|--|
|                       | 1          | 20030092672  | A1                             | 2003-05                | 5-15                      | Darcy et al.                          |   |  |   |            |  |
|                       | 2          | 20050214257  | A1                             | 2005-09                | -29                       | Zhao ef al.                           |   |  |   |            |  |
| If you wisl           | to ac      | td additional U.S. Publ  | ished Ap                       | plication              | citatio                   | n information p                       | lease click the Ad                                    | d butto  | n.  |            |  |
|                       |            |  |                                | FOREIG                 | IN PAT                    | ENT DOCUM                             | ENTS  |  |   |            |  |
| Examiner<br>Initial*  |            |  | Country<br>Code <sup>2</sup> i |                        | Kind<br>Code <sup>4</sup> | Publication<br>Date                   | Name of Patentee or<br>Applicant of cited<br>Document |  | Pages, Columns, Lines<br>where Relevant<br>Passages or Relevant<br>Figures Appear |            |  |
|                       | 1          | 99/36107   | wo                             |                        |                           | 1999-07-22                            | Shakesheff et al.                                     |  |   |            |  |
| If you wis            | h to a     | i<br>dd additional Foreign F   | latent D                       | ocument                | citation                  | information p                         | lease click the Add                                   | 1 butto  | 7   |            |  |
|                       |            |  | NO                             | N-PATE                 | NT LIT                    | ERATURE DO                            | CUMENTS   |  |   |            |  |
| Examiner<br>Initials* | Cite<br>No | Include name of the a<br>(book, magazine, jou<br>publisher, city and/or  | mal, ser                       | ial, symp              | osium,                    | catalog, etc),                        | the article (when a<br>date, pages(s), vo             | approp<br>lume-is  | riate), title of the item<br>sue number(s),                                       | <b>7</b> 5 |  |
|                       | 1          | Nomizu, Motoyoshi, Atsushi Utani, Notio Shiraishi, Maura C. Kibbey, Yoshihiko Yamada, and Peter P. Roller. July 15, 1992. "The All-D-Configuration Segment Containing the IKVAV Sequence of Leminin A Chain Has Similar Activities to the All-L-Peptide in Vitro and in Vivo." The Journal of Biological Chemistry. Vol. 267, No. 20, pp. 14118-14121. |                                |                        |                           |                                       |   |  |   |            |  |
| ,                     | 2          | Margomenou-Leonidopoulou. G. 1994. "Thermotropic Mesophases of Ionic Amphiphiles. II. Ionic Amphiphiles in Aqueous Media." Journal of Thermal Analysis. Vol. 42, pp. 1041-1061.  |                                |                        |                           |                                       |   |  |   |            |  |
|                       | 3          | Rappolt, Michael and C<br>Dipalmitoylphosphatid  | Sert Rappy<br>Acholine         | o. 1996.<br>* Eur. Bio | "Structu<br>ophys. J      | re of the Stable<br>. Vol. 24, pp. 38 | and Metastable Ripp<br>1-386.                         | ole Pha  | se of   | С          |  |

/Samuel Liu/

03/27/2009

EFS Web 2.0

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

| Application Number    |      | 10534097                |
|-----------------------|------|-------------------------|
| Filing Date           |      | 2005-11-08              |
| First Named Inventor  | Same | uel L STUPP et al.      |
| Art Unit              | **** | 1653                    |
| Examiner Name         | Unkn | own                     |
| Attorney Docket, Numb | er   | NANO 106 US2 (NU 22088) |

| <br>4  | Goveas, J. L. and S. T. Milner. 1997. "Dynamics of the Lamellar—Cylindrical Transition in Weakly Segregated Diblock Copolymer Melts." Macromolecules. Vol. 30, No. 9, pp. 2605-2612.   |  |
|--------|--|--|
| <br>5  | Murson, John B. and Stephen B. McMahon. 1997. "Effects of GDNF on Axotomized Sensory and Motor Neurons in Adult Rats." European Journal of Neuroscience. Vol. 9, pp. 1126-1129.  |  |
| <br>6  | Fernandez, A., M. A. Alsina, I. Haro, R. Galantal, and F. Reig. 1998. "Synthesis and Physicochemical Characterization of Cyclic Laminin Rolated Peptides." Langmuir. Vol. 14, No. 13, pp. 3625-3630.   |  |
| <br>7  | Yagi, Nobuhiro, Yoshikatsu Ogawa, Masato Kodaka, Tomoko Okada, Takenori Tomohiro, Takeo Konakahara, and Hiroaki Okuno. 1999. "A Surface-Modified Functional Liposome Capable of Binding to Cell Membranes." Chem. Commun. Pp. 1687-1688.   |  |
| <br>8  | Luo, Yi and Glern D. Prestwich. 2001. "Novel Biomaterials for Drug Delivery." Expert Opin. Ther. Patents. Vol. 11. No. 9, pp. 1395-1410.   |  |
| <br>9  | Marchi-Artzner, Valene, Barbara Lorz, Ufrike Heiterer, Martin Kantlehner, Horst Kessler, and Erich Sackmann. 2001.  *Selective Adheston of Endothelial Cells to Artificial Membranes with a Synthetic RGD-Lipopeptide.* Chem. Eur. J.  Vol. 7, No. 5, pp. 1095-1101.   |  |
| <br>10 | Blight, Andrew R. November 2002. "Miracles and Molecules—Progress in Spinal Cord Repair." Nature Neuroscience Supplement. Vol. 5, pp. 1051-1054.   |  |
| <br>11 | Rodger, Alison, Jascindra Rajendra, Rachel Marington, Malin Ardhammar, Bengt Norden, Jonathan D, Hirst, Andrew T. B. Gilberl, Timothy R, Daffern, David J, Halsall, Cheryl A, Woothead, Colin Robinson, Tersea, J. T. Pinhairo, Jurale Kazlatskalle, Mark Soymour, Niuris Perez, and Michael J, Hammor. 2002. "Flow Oriented Linear Dichroism to Probe Protein Orientation in Membrane Environments." Phys. Chem. Chem. Phys. Vol. 4, pp. 4051-4057. |  |
| 12     | Silva, G. A., K. L. Kehl, K. L. Niece, and S. I. Stupp. May 4, 2003. "Nancengineered Peptide Amphiphile Network for<br>Photoreceptor Replacement in Degenerative Retinal Disorders." Investigative Ophthetmology & Visual Science.<br>Abstract No. 492 from Annual Meeting of the Association for Research in Vision and Opthalmology.   |  |
| 13     | Brandenburg, Klaus, Frauke Wagner, Mareike Muller, Holger Heine, Jorg Andra, Michel H. J. Koch, Ulrich Zahringer, and Ulrich Seydel. 2003. "Physicochemical Cheracterization and Biological Activity of a Glycoglycerolipid from Mycoplasma fermontans." Eur. J. Biochem. Vol. 270, pp. 3271-3279.   |  |
| 14     | Czeisler, C., V. M. Tyssching-Mattiace, G. A. Silva, S. I. Stupp, and J. A. Kessler. 2003. "Behavoral Improvement and Increased Survival Rate after Treatment with a Self Assembling Gel in a Rat Model of Spinal Cord Injury." 2003 Abstract Viewer/filiorary Plannar. Program No. 245.22. Weshington, D.C. Socialty for Neuroscience. Printed February 5, 2007. Page 1. http://doi.org/10.1007/min.html?new.page_id=1268.dbsfract_id=1554          |  |

FFS Web 2.0 /Samuel Liu/

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /S.L./

03/27/2009

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

| Application Number   |      | 10534097                |  |  |  |  |
|----------------------|------|-------------------------|--|--|--|--|
| Filing Date          |      | 2005-11-08              |  |  |  |  |
| First Named Inventor | Same | uel I, STUPP et al.     |  |  |  |  |
| Art Unit             |      | 1653                    |  |  |  |  |
| Examiner Name        | Unkn | own                     |  |  |  |  |
| Attorney Docket Numb | er   | NANO 106 US2 (NU 22088) |  |  |  |  |

|                                 | 15     | Schmidt, Christine E. and Jennie Baier Leach. 2003. "Neural Tissue Engineering: Strategies for Repair and Regeneration." Armu. Rev. Biomed. Eng. Vol. 5, pp. 293-347.   |  |                    |                     |         |                  |                      |                        |                         |                      |                      |               |                                     |   |
|---------------------------------|--------|---|--|--------------------|---------------------|---------|------------------|----------------------|------------------------|-------------------------|----------------------|----------------------|---------------|-------------------------------------|---|
|                                 | 18     | t' Hart<br>Neuro  | t' Hart, Bert A, and Sandra Amor. 2003. "The Use of Animal Models to Investigate the Pathogenesis of<br>NeuroInflammatory Disorders of the Central Nervous System." Current Opinion in Neurology. Vol. 16, pp. 375-383.    |                    |                     |         |                  |                      |                        |                         |                      |                      |               |                                     |   |
|                                 | 17     | Benlar<br>Peptid  | Beniash, Elia, Jeffery D. Hartgennk, Hannah Storrie, John C. Stendahl, and Samuel I. Stupp. 2005. "Self-Assembling<br>Poptide Amphiphile Nanofiber Matrices for Cell Entrapment." Acta Biomaterialia. Vol. 1, pp. 387-397. |                    |                     |         |                  |                      |                        |                         |                      |                      |               |                                     |   |
|                                 | 18     | Hoke, Ahmet. August 2006. "Mechanisms of Disease: What Factors Limit the Success of Peripheral Nerve Regeneration in Humans?" Nature Clinical Practice Neurology. Vol. 2, No. 8, pp. 449-454.   |  |                    |                     |         |                  |                      |                        |                         |                      |                      |               |                                     |   |
|                                 | 19     | Kokkoli, Efrosini, Anastasia Mardilovich, Alison Wedekind, Emilie L. Rexeisen, Ashish Gerg, and Jennifer A. Craig. 2008. "Self-Assembly and Applications of Biomimetic and Bioactive Peptide-Amphiphiles" Soft Matter. Vol. 2, pp. 1015-1024. |  |                    |                     |         |                  |                      |                        |                         |                      |                      |               |                                     |   |
|                                 | 20     | The L   | sbRat.co   | om. 200<br>labrat. | )7, upda<br>com/pro | ated. I | Hank's<br>Hanks. | Buffered<br>shtml. I | d Salt So<br>Printed J | fution (HB<br>anuary 19 | ISS) Reci            | oe.<br>ages 1-2.     |               |                                     | С |
| f you wist                      | n to a | dd add  | tional n   | on-pat             | ent lite            | rature  | docu             | ment ci              | tation ir              | formation               | n please             | click the A          | Add b         | outlon                              |   |
|                                 |        |   |  |                    |                     |         | EX               | AMINE                | R SIGI                 | ATURE                   |                      |                      |               |                                     |   |
| Examiner Signature /Samuel Liu/ |        |   |  |                    |                     |         |                  |                      | Date                   | Consider                | ed                   | 03/27/2009           |               |                                     |   |
| EXAMINI                         | ER: I  | nitial if i   | eference   | e con              | sidered<br>ot cons  | i, whe  | ther or          | not cit              | ation is<br>by of thi  | in confor<br>form wit   | mance w<br>th next c | ith MPEP<br>ommunica | 609.<br>ation | . Draw line through a to applicant. |   |

EFS Web 2.0